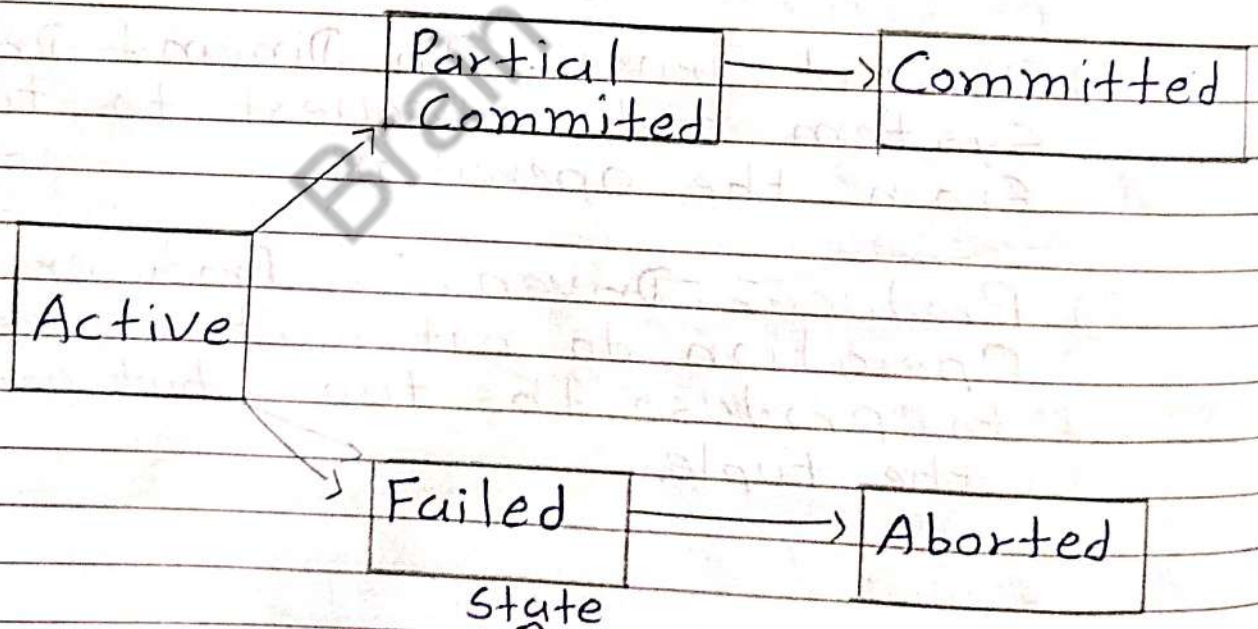


## \* Explain Transaction State Diagram.

Transaction is a sequence of operations performed as a single line.

There are Five State of Processing Transaction.

- 1) Active
- 2) Partial Committed
- 3) Failed
- 4) Committed
- 5) Aborted.



1 Active: In this transaction is executed.

Transaction is the start to the active state.

## 2 Partial Committed:

In this state, transaction perform its Final operation.

## 3 Failed:

If transaction is not perform operation then transaction go in the Failed state.

## 4 Aborted:

After the Failed state, transaction is roll back and restored its the initial state.

## 5 Committed:

After the partial committed state, transaction is successfull complete and store in database.



\* Explain schedule with its types.

Schedule is the process of Grouping Transactions.

Schedule is executed transaction in Chronological Order.

There are three types of Schedule.

- 1) Serial Schedule
- 2) Interleaved Schedule
- 3) Equivalent Schedule

1 Serial Schedule :

In this schedule, transaction are performed one by one.

Serial Schedule, Process transaction one by one.

In this schedule, no transaction starts until running transaction has ended.

In this schedule, One transaction perform at a time.

Ex.	T <sub>1</sub>	T <sub>2</sub>
	R(A)	
	A = A - 50	
	W(A)	
	R(B)	
	B = B + 50	
	W(B)	
	Commit	
		R(A)
		A = A + 50
		W(A)
		R(B)
		B = B - 50
		W(B)
		Commit

## 2 Interleaved Schedule:

In this schedule, transactions are not performed one by one.

In Interleaved Schedule, transaction execution can switch between the transactions.

In Interleaved Schedule, second transaction starts before first transaction ends.



Ex.	$T_1$	$T_2$
	RCA)	
	$A = A - 50$	
	WCA)	
		RCB)
		$B = B + 50$
		WCB)
		Commit
	RCA)	
	$A = A + 100$	
	WCA)	
		RCB)
		$B = B + 100$
		WCB)
		Commit

### 3 Equivalent Schedule:

In this schedule, transaction gives same result.

In this schedule, transaction can be switch and one transaction can be serial but result of transaction same.

Equivalent Schedule, give same result when both transaction can be perform with two different method.

Ex.

$T_1$	$T_2$	$T_1$	$T_2$
R(A)		R(A)	
$A = A - 50$		$A = A - 50$	
W(A)		W(A)	
R(B)			R(B)
$B = B + 50$			$B = B + 50$
W(B)			W(B)
Commit			Commit
	R(C)	R(C)	
	$C = C + 30$	$C = C + 30$	
	W(C)	W(C)	
	Commit	Commit	

Both Transaction  
Gives same Result.

\* Explain Two phase commit Protocol.

Two phase commit protocol insures that all the participants perform the same action.

In this Protocol there are two participants.

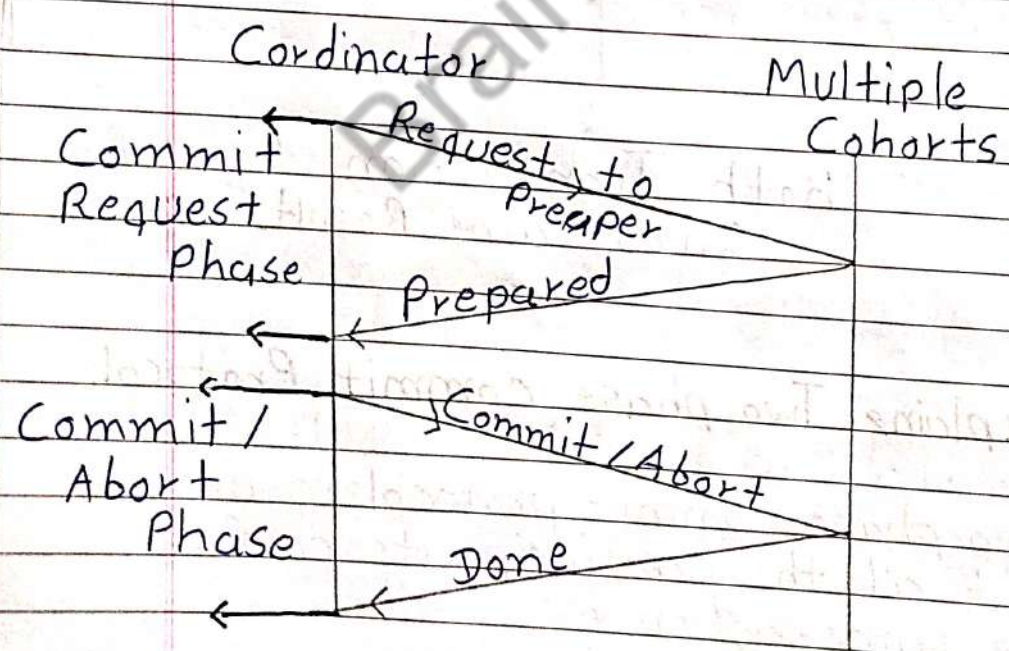


- 1) Coordinator
- 2) Cohorts

- 1. Coordinator: Coordinator coordinates with all the participant.
- 2. Cohorts: Cohorts is participant in the action.

Two phase commit Protocol consist Two phase.

- 1) Commit Request Phase
- 2) Commit / Abort Phase



## 1 Commit Request Phase:

In this phase, Coordinator request the multiple cohorts to prepare the request.

Multiple Cohorts accept the Coordinator's request.

In this phase, Multiple Cohorts prepare the request and coordinates with coordinator.

So, In this phase request is prepared.

## 2 Commit / Abort Phase:

In this phase, Coordinator send the action to the cohorts,

If Cohorts is ready then they accept the action and do commit and send done message.

Else, Cohorts is not ready then they abort the action.

So, In this phase request commit or Abort.



\* Explain Database Recovery with its types.

Database Recovery is very important to maintain database.

In some case, transaction may not reach to commit or Abort point.

Database Recovery is the process the recovering database and Data to a consistent state.

Database Recovery may include restore the lost data upto the point.

Database Recovery consist only one type.

1) Logbased Recovery Method.

1 Logbased Recovery Method:

Logbased Recovery Method containt sequence of log records.

This log records sequence contains,



- 1) Start of Transaction
- 2) Transaction-id
- 3) Record-id
- 4) Type of Operation
- 5) Old value, new value
- 6) Commit Transaction.

Ex When Transaction start  $\langle T_i \text{ start} \rangle$

- Before  $T_i$  executes  $\text{write}(x)$ ,  
A log record,  
 $\langle T_i, x, V_1, V_2 \rangle$

where,

- $T_i$  = Transaction
- $x$  = name of Attribute
- $V_1$  = Old name
- $V_2$  = new name

- For Undo Transaction,  
 $\langle T_i, x, V_1, V_2 \rangle$

- For Redo Transaction,  
 $\langle T_i, x, V_1, V_2 \rangle$

-  $T_i$  Finishes  $\langle T_i \text{ commit} \rangle$



There are Two Types of Log based Recovery Method.

- 1) Immediate database Modification
- 2) Deferred database Modification

### 1 Immediate Database Modification:

In this modification, Database update without it reach to the commit point.

In this modification, Database change without do any type of commit.

Ex.

- $\langle T_1, \text{start} \rangle$
- $\langle T_1, A, 500, 400 \rangle$
- $\langle T_1, B, 600, 700 \rangle$

Here,  $A = 400$ ,  $B = 700$  change in database.

### 2 Deferred Database Modification:

In this modification, Database update untill it reach to the commit point.

In this modification, Database change untill we do any type of commit.



Ex.  $\langle T, \text{start} \rangle$   
 $\langle T, A, 400 \rangle$   
 $\langle T, B, 700 \rangle$   
 $\langle T, \text{commit} \rangle$

Here  $A = 400$  and  $B = 700$   
change in database.

\* Explain DBMS Concurrency Control with its Problems and Protocols.

In a multi-user system, multiple user can access and use the same database at a time, this problem, problem remove by DBMS Concurrency Control.

DBMS Concurrency follow interleaved manner execution.

Using DBMS concurrency control all the type of ACID Properties.

DBMS Concurrency Control maintain the Database.



⇒ This are the Different Concurrency Control problem.

- 1) Lost Update Problem
- 2) Dirty Read Problem
- 3) Unrepeatable Read Problem.

### 1 Lost Update Problem:

Lost Update Problem is also called W-W conflict.

This problem occurs when, Two Transaction perform Read, write operation in different database Using interleaved manner.

In this transaction, we can lost different data in database.

Ex.	$T_x$	$T_y$
	$RCA) = 300$ $A = A - 50$	
		$RCA) = 300$ $A = A + 100$
	$WCA)$	$WCA)$

In above, Transaction, we perform write, read operation.

Here, Transaction  $T_x$  perform R(A) operation and until the transaction  $T_x$  perform W(A) operation.

After that using  $T_x$  database,  $T_y$  perform R(A) operation and  $T_y$  Read  $A = 300$ .

But updated value is  $A = 250$ .

So,  $A = 250$  value is lost in database. This is called Lost Update Problem.

## 2 Dirty Read Problem:

Dirty Read Problem is also called W-R Conflict.

This problem occurs when, One transaction update an item in database and somehow the transaction fails and before gets data rollback the second transaction take updated value, this is called Dirty Read Problem.



Ex.	$T_x$	$T_y$
	$RCA() = 300$	
	$A = A + 50$	
	$WCA() = 350$	
	Rollback	$RCA() = 350$

Here,  $T_x$  transaction performs Read and write operation and some how transaction get failed and rollback.

$T_y$  transaction Read this failed transaction value.

This is called Dirty Read Problem.

### 3 Unrepeatable Read Problem:

Unrepeatable Read Problem is also called W-R conflict.

This problem occurs when, two transaction perform in database and one transaction Read

Two different value in database, this is called Unrepeatable Read Problem.

Ex.

$T_x$	$T_y$
$R(A) = 300$	$R(A) = 300$
$R(A) = 350$	$A = A + 50 = 350$
	$W(A) = 350$

Here, Transaction  $T_x$  perform Read operation and Transaction  $T_y$  perform Read and write operation.

Transaction  $T_x$  perform two Read operation and get two different value.

This problem is called Unrepeatable Read Problem.

This Three are Basic DBMS Concurrency Control Problem.



=> This are the different DBMS Concurrency Control Protocols:

- 1) Lock Based
- 2) Time Based
- 3) Validation Based

### 1 Lock Based:

In this Protocols, any transaction cannot Read or write data untill it require lock.

Every transaction require lock to perform operation.

There are two type of Lock.

- (a) Shared lock
- (b) Exclusive lock.

(a) Shared lock: This lock is perform only read operation.

In this lock, No Transaction Update in database.

In this lock, we cannot write data in the database.

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(b) Exclusive lock: This lock is perform Read and write both operation.

In this lock, we can update the transacation in database.

In this lock, we can Read and write data in database.

There are Four types of Exclusive lock.

(i) Simplistic lock

(ii) Pre-claiming lock

(iii) Two-phase locking

(iv) Strict Two-phase locking

(i) Simplistic lock: This lock is work with three steps.

Step - 1 - It allows the transacation aquires the lock

Step - 2 - Complete transacation

Step - 3 - Redise the lock.



cii) Pre-claiming Lock: Pre-claiming lock is also work with this three steps.

Step-1 - Evaluate the transaction list and count lock for the transaction.

Step-2 - Request DBMS For lock.

Step-3 - If all lock are granted then transaction execute

else all lock are not granted then until the rollback to all locks are granted.

ciii) Two-phase locking: This lock is contain two phase.

1) Growing      2) Shrinking.

This lock is perform three Step:

Step-1 - Seeks Permission for the lock it requires.

Step-2 - Evaluate First transaction and acquires second lock for see second

## Transaction.

### Step-3- Realise First lock.

Ex.

	T <sub>1</sub>	T <sub>2</sub>
0	Lock-SCA)	
1	-	L-SCA)
2	L-XCB)	-
3	-	-
4	UnL-(A)	
5	-	L-XCC)
6	Un.L-(B)	
7		UnLo.(A)
8		UnLo.(CC)
9		

In above Example,

Growing Phase,

For T<sub>1</sub> - 1 to 3  
T<sub>2</sub> - 2 to 6

Shrinking Phase,

For T<sub>1</sub> = 5 to 7  
T<sub>2</sub> = 8 to 9



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civ) Strict - Two Phase Locking: This locking contains only Growing phase.

This lock is also work with this three step.

Step-1 - Lock Acquired

Step-2 - Evaluation Transaction.

Step-3 - Not realise until without commit.

## 2 Timestamp Order Protocol:

This protocol perform Read and write transaction to check this two step:

- step: 1 -  $T_i$  Perform  $R(x)$  operation.

IF  $W_{TC(x)} > W_{TC(T_i)} \rightarrow$  Reject

IF  $W_{TC(x)} \leq W_{TC(T_i)} \rightarrow$  Update

- step: 2 -  $T_i$  Perform  $W(x)$  operation.

IF  $R_{TC(T_i)} < R_{TC(x)} \rightarrow$  Reject

IF  $R_{TC(T_i)} < W_{TC(x)} \rightarrow$  Reject



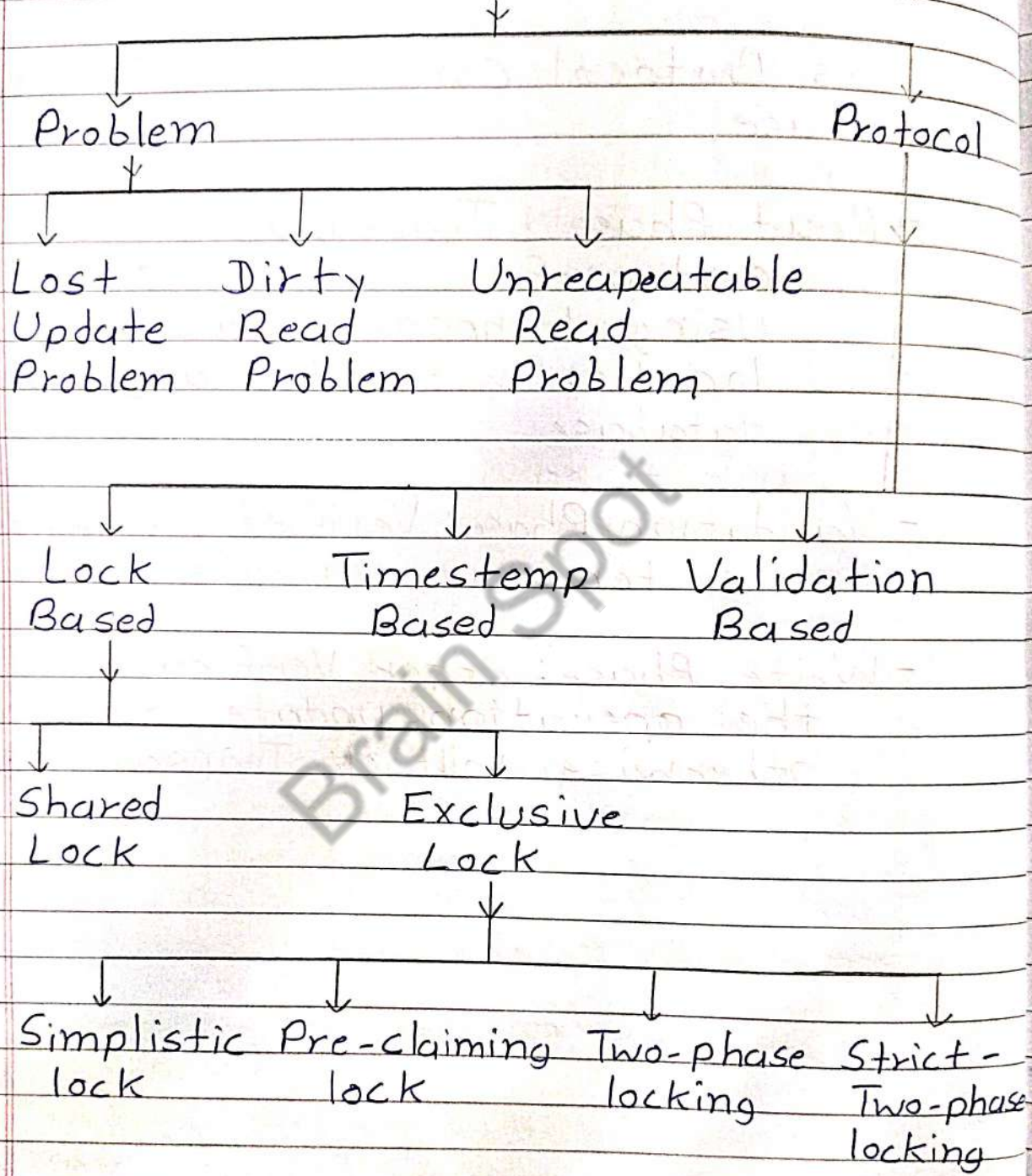
### 3 Validation Based Protocol:

This Protocol consist this three phase.

- Read Phase : Transaction is read and perform all the operation using temporary variable location without change in database.
- Validation Phase : Verified the Data in a temporary variable location.
- Write Phase : After Verification, all the operation update in database otherwise rollback Transaction.



# DBMS Concurrency Control





## \* Explain Serializability.

A schedule is serialized if it is equivalent to a serial schedule.

In Serializability multiple transactions are allowed.

It refers to the sequence of actions such as read, write, commit are performed in a serial manner.

There are two types of Serializability.

- 1) Conflict Serializability
- 2) View Serializability.

### 1 Conflict Serializability:

This are the four Conflict Instruction.

(a) If we perform Read and Read operation in two transactions then no conflict occurs.

(b) If we perform Read and write operation in two transactions then conflict occurs.



cc) IF we performs Write and Read operation in two transaction then conflict occurs.

cd) IF we performs Write and Write operation in two transaction then conflict occurs.

## 2 View Serializability :

There are three type of Read view serializability.

ca) Intial Read:

An intial read of both schedules must be same.

EX.

S <sub>1</sub>		S <sub>2</sub>		S <sub>3</sub>	
T <sub>1</sub>	T <sub>2</sub>	T <sub>1</sub>	T <sub>2</sub>	T <sub>1</sub>	T <sub>2</sub>
RCA)			RCA)		WCA)
	WCA)	WCA)		RCA)	

Here, S<sub>3</sub> and S<sub>1</sub> is view equivalent.  
IF S<sub>1</sub> schedule transaction RCA) and S<sub>3</sub> schedule transaction is also RCA).

Cb) Updated Read:

An updated read of both schedules must be same.

EX.

S <sub>1</sub>			S <sub>2</sub>		
T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>	T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>
WCAJ			WCAJ	WCAJ	
	WCAJ				
		RCAJ			RCAJ

In schedule S<sub>1</sub>, IF T<sub>3</sub> read the RCAJ which is update by T<sub>2</sub> Transacation WCAJ.

In schedule S<sub>2</sub>, IF T<sub>3</sub> Read the RCAJ which is update by T<sub>1</sub> Transacation WCAJ

So, Schedule S<sub>1</sub> and S<sub>2</sub> is not View Equivalent.



cc) Final write:

Final write of both schedules must be same.

If one transaction write operation in  $T_i$ ; then second transaction write operation also in  $T_i$ ;

Ex.

$S_1$			$S_2$		
$T_1$	$T_2$	$T_3$	$T_1$	$T_2$	$T_3$
WCA)				RCA)	
	RCA)		WCA)		
		WCA)			WCA)

Here, Schedule  $S_1$  transaction  $T_3$  write Final operation and schedule  $S_2$  transaction  $T_3$  is also write Final operation.

So,  $S_1$  and  $S_2$  is a View equivalent.